PTO/SB/05 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032

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UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| Attorney Docket No. | 14897US02 |
|------------------------|---|
| First Inventor | Rao |
| Title | SECURITY SYSTEM FOR COMMUNICATING DATA BETWEEN A MOBILE HANDSET AND A MANAGEMENT SERVER |
| Express Mail Label No. | EL 848970496 US |

| | | | | Express I | Mail Label No. | EL 84 | 18970496 US | | |
|--|--|---|-----------------------------------|--|--------------------------|--|---|--|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | | | | SS TO: | Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | |
| 2. Applica See 37 3. Specific (preferred -Descrip -Cross R -Stateme -Referen progran -Backgro -Brief De | n original and a cont claims sm CFR 1.27. cation arrangement settive title of the eference to Rent Regarding to the to sequence in listing appenund of the Inv | t forth below) invention elated Applications Fed sponsored R&D e listing, a table, or a c dix | otal Pages <u>18</u>] | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper | | | | | |
| -Claim(s) | | uro | | c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS | | | | | |
| 4. Drawing 5. Oath or Declar | g(s) (35 U.S. ation | C. 113) [Total Sh | otal Sheets <u>4</u>] | 9. | 37 CFR 3.7 (when then | 73(b) Stat e is an as inslation l | signee) Attorney Document (<i>if applicable</i>) | | |
| a. 🔀 Newly executed (fax copy) | | | | | Statement | | | | |
| i Di | ELETION OF gned statem amed in the p 63(d)(2) and | | completed) g inventor(s) a 37 CFR | 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122(b) 16. (2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Other: | | | | | |
| 6 Application Data Sheet. See 37 CFR 1.76 | | | | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner: Art Unit: | | | | | | | | | |
| 5b. is considered a p | art of the disc | L APPS only: The ention losure of the accompar ion when a portion has | nving continuation | n or divisiona | l application an | d is hereb | or declaration is supplied under Box y incorporated by reference. The | | |
| incorporation can on | iy be relied up | | CORRESPON | | | u applicati | on parts. | | |
| Customer Nu | 23446 | | _ | OR _ | Corre | spondence address below | | | |
| Name | | | | | | | | | |
| Address | | | | | | | | | |
| City | | | State | | | Zip Cod | е | | |
| Country | | | Telephone | | | Fax | | | |
| Name (Print/type) | Christo | pher C. Winsla | ide Registra | tion No. (Att | orney/Agent) | 36,308 | | | |
| Signature | | ulla | | | | Date | October 29, 2003 | | |

| The PTO did not receive the following |
|---------------------------------------|
| listed item(s) OATH OR |
| DECLARATION |
| |

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Complete if Known **FEE TRANSMITTAL Application Number** unassigned herewith Filing Date for FY 2004 First Named Inventor Rao **Examiner Name** unassigned Patent Fees are subject to annual revision. unassigned Group Art Unit 14897US02 TOTAL AMOUNT OF PAYMENT (\$)439.00 Attorney Docket No.

| | MET | ГНС | D OF PAYN | IENT | | FEE CAL | | | | CALCULATION (continued) | |
|---|--------------------------|---------|---|-------------------|---------------|---------------------|------------------------------------|--------------|---|---|----------|
| 1. ☑ The Commissioner is hereby authorized to charge indicated fees and | | | 3. ADDITIONAL FEES | | | | | | | | |
| | | | | charge indica | ited fees and | ۸ ۲۰۰۲ | ווטט | 10117 | | | |
| credit any overpayments to: | | | | Large | | 1 | | | | | |
| Deposit | | | 40 0047 | | | Fee | Entity Fee | / Fee | Entity Fee | у | Fee |
| Account Number | | | 13-0017 | | | Code | (\$) | Code | (\$) | Fee Description | Paid |
| Deposit | | | | | _ | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| Account | Mo | And | Irews Held & N | <i>M</i> alloy | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover | |
| Name | | | | | | | | | | sheet | |
| | | | I Fee Required Un | der 37 CFR 1. | 16 and 1.17 | l | | | 400 | No. Forth conforms | <u> </u> |
| | cant daims 7 CFR 1.27 | | l entity status. | | | 1053 | 130 | 1053 | 130 | Non-English specification | |
| 2. ⊠ Payme | | | | | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| | | | d ☐Money Order | Other | | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| | FF | F | CALCULATION | ON | | 1805 | 1,840 | 1805 | 1,840* | Requesting publication of SIR after Examiner | |
| | | | , 1200 2 | | | 1 | | | | action | |
| 1. BASIC FII | | A14. | | | | | | 2254 | | Colonias for coloniábio Esta month | <u> </u> |
| Large Entit | | | Fee Description | | | 1251 1252 | 110 420 | 2251 2252 | 55 210 | Extension for reply within first month Extension for reply within second month | |
| Code (\$) | Code (| | | | Fee Paid | 1252 | 950 | 2252 | 475 | Extension for reply within third month | |
| 1001 77 | 2001 3 | 385 | Utility filing Fee | | 385.00 | 1253 | 1.480 | | 740 | Extension for reply within fourth month | |
| 1002 34 | | | Design filing Fee | | 一 | 1255 | 2,010 | | 1,005 | Extension for reply within fifth month | |
| | | | | | | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1003 53 | | | Plant filing fee | | | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1004 77 | 2004 3 | 385 | Reissue filing fee | | | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1005 16 | 2005 | 80 | Provisional filing for | ee | | 1451 | 1.510 | | 1510 | Petition to institute a public use proceeding | |
| | | | | | | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| SUBTOTAL (1) (\$)385.00 | | | 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | | | | |
| 0. 5)(754.0) | | | | | | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 2. EXTRA CL | AIM FEES | | | | | 1502 | 480 | 2502 | 240 | Design issue fee | |
| | | | Extra Claims | Fee from below | Fee Paid | 1503 | 640 | 2503 | 320 | Plant issue fee | |
| _ ``. ~ | | | _ | 9.00 = | 54.00 | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Total Claims | 26 - 20° | = | 6 × | 9.00 | 54.00 | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| Independent | 2 - 3** : | _ | 0 × | 43.00 = | 0.00 | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | <u> </u> |
| Claims Multiple Depe | _ | = | М× | == | 0.00 | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| Large Entit | | titv | | - | | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| Fee Fee Code (\$) | | ee | Fee Description | | | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1202 18 | | 9 | Claims in excess | of 20 | | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1201 86 | | 43 | Independent claims in excess of 3 | | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | |
| 1203 29 | 2203 1 | 145 | Multiple dependent claim, if not paid | | Other | Other fee (specify) | | | аррисации | | |
| 1204 86 | | 43 | **Reissue independent daims over original patent | | | 100 (00 | | | | | |
| 1205 18 | 2205 | 9 | **Reissue claims i | in excess of 2 | 0 | | | | | | |
| 1 | | | and over origina | • | (2) (5)54 00 | | | | | | |
| SUBTOTAL (2) (\$)54.00 **or number previously paid, if greater; For Reissues, see above | | | *Rodu | ced hv | Basic Fil | ing Fee I | Paid SUBTOTAL (3) (\$ | , | | | |
| or number b | eviousiy be | aiu, II | greater, rui ivelssi | 200, 3EE 800V | <u> </u> | 1,000 | 55 0 5y | 233011 | g | | |

| SUBMITTED BY | | | | | Complete (if applicable) |
|-------------------|-------------------------|---|--------|-----------|--------------------------|
| Name (Print/Type) | Christopher C. Winslade | Registration No. (Attorney or Agent) | 36,308 | Telephone | 312 775 8000 |
| Signature | Clarke | el- | | Date | October 29, 2003 |

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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor B.R. Rao

Title SECURITY SYSTEM FOR COMMUNICATING DATA BETWEEN A MOBILE HANDSET . . .

Attorney Docket Number 14897US023

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 29, 2003

Date

Signature

Signature

Christopher C. Winslade-Reg No. 36,308

Telephone number

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.